

O I P E  
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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/538,126
Filing Date	June 6, 2005
First Named Inventor	Shunsuke Kuroiwa
Art Unit	1624
Examiner Name	Not yet assigned
Attorney Docket Number	442P097

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> -Copy of European communication dated 7/19/07
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
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	<b>Remarks</b>	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Nields & Lemack		
Signature			
Printed name	Kevin S. Lemack		
Date	August 17, 2007	Reg. No.	32,579

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Date August 17, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shunsuke Kuroiwa et al.

Serial No. : 10/538,126

Filed : June 6, 2005

For 3-PHENYL-CINNOLINE ANALOGUE AND ANTITUMOR AGENT USING  
THE SAME

Examiner : Not yet assigned

Art Unit : 1624

Confirmation No: 2218

Attorney  
Docket No. : 442P097

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.

The relevance of the reference Chemical Abstract XP002441182 is cited is shown in the attached European communication dated July 19, 2007, which indicates the degree of relevance found by the European Patent Office in a related foreign application.

Copies of the non U.S. Patent listed on the attached form are enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 17, 2007

  
Signature: Kevin S. Lemack  
Date: August 17, 2007

Respectfully submitted,

  
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FORM PTO-1449	O I P E AUG 21 2007 U.S. PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
		442P097	10/538,126
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		Shunsuke Kuroiwa et al.	
		FILING DATE	GROUP
		June 6, 2005	1624

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
BA						
BB						
BC						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

/CJ/	CA	Database Caplus – Chemical Abstracts Service, Ramadas, Sukuru Raghu et al.; "Condensed nitrogen heterocycles derived from indan-1, 3-dione" – XP002441182	1984
	CB	Journal of Medicinal Chemistry, 1976, vol. 19, No. 4; Kuppuswamy Nagarajan et al.; "Central Nervous System Active 5-Oxo-1,4,5,6,7,8-hexahydrocinnolines"; pp. 508-511	
/CJ/	CC	Copy of European communication dated 7/19/2007	
	CD		

EXAMINER	/Cecilia Jaisle/	DATE CONSIDERED	09/21/2007
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EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.